

**UNITED STATES VETERINARY PERMIT FOR IMPORTATION  
AND TRANSPORTATION OF CONTROLLED MATERIALS AND  
ORGANISMS AND VECTORS**

PERMIT NUMBER  
20362  
Research

DATE ISSUED  
03/18/2018

DATE EXPIRES  
03/18/2019

NAME AND ADDRESS OF SHIPPER(S)

Various shippers outside the  
UNITED STATES

CC:  
Service Center, CA (Sacramento,  
CA)

NAME AND ADDRESS OF PERMITTEE INCLUDING ZIP CODE AND TELEPHONE NUMBER

Dr. Cecilia Penedo  
UC Davis Vet Genetics Lab  
University of California  
Old Davis Road  
Davis, California 95616  
530-752-2211

U.S. PORT(S) OF ARRIVAL  
AS APPLICABLE

MODE OF TRANSPORTATION ANY

AS REQUESTED IN YOUR APPLICATION, YOU ARE AUTHORIZED TO IMPORT OR TRANSPORT THE FOLLOWING MATERIALS

Fecal, urine, tissue, bones, teeth, hair, blood, sera, semen, DNA samples and/or nasal and/or  
muccal swabs (equine origin)

**RESTRICTIONS AND PRECAUTIONS FOR TRANSPORTING AND HANDLING MATERIALS AND ALL DERIVATIVES**

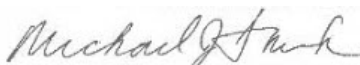
THIS PERMIT IS ISSUED UNDER AUTHORITY CONTAINED IN 9 CFR CHAPTER 1, PARTS 94.95 AND 122. THE AUTHORIZED MATERIALS OR THEIR DERIVATIVES SHALL BE USED ONLY IN ACCORDANCE WITH THE RESTRICTIONS AND PRECAUTIONS SPECIFIED BELOW (ALTERATIONS OF RESTRICTIONS CAN BE MADE ONLY WHEN AUTHORIZED BY USDA, APHIS, VS).

- o **Adequate safety precautions shall be maintained during shipment and handling to prevent dissemination of disease.**
- o With the use of this permit I, Cecilia Penedo, Permittee, acknowledge that the regulated material(s) will be imported/transported within the United States in accordance with the terms and conditions as are specified in the permit. The Permittee is the legal importer/recipient [as applicable] of regulated article(s) and is responsible for complying with the permit conditions. The Permittee must be at least 18 years of age and have and maintain an address in the United States that is specified on the permit; or if another legal entity, maintain an address or business office in the United States with a designated individual for service of process; and serve as the contact for the purpose of communications associated with the import, transit, or transport of the regulated article(s). \*\*Note: Import/Permit requirements are subject to change at any time during the duration of this permit.
- o \*\*\*Each shipment shall be accompanied by an ORIGINAL signed document from the producer/manufacture confirming that the exported material was derived only from horses considered to be free of evidence of disease.  
[This certification must CLEARLY correspond to the shipment by means of an invoice number or shipping marks or lot number or other identification method. An English translation must be provided.]
- o No material may be imported from or through the following countries/regions which are considered by USDA to be affected with African Horse Sickness disease: Oman, the Yemen Arab Republic and all regions on the continent of Africa (except Morocco).

*continued on subsequent page(s) . . . . .*

TO EXPEDITE CLEARANCES AT THE PORT OF ENTRY, BILL OF LADING, AIRBILL OR OTHER DOCUMENTS ACCOMPANYING THE SHIPMENT SHALL BEAR THE PERMIT NUMBER

SIGNATURE Michael Smith



TITLE  
Veterinary Medical Officer  
National Import Export Services

NO. LABELS

RESTRICTIONS AND PRECAUTIONS: (continued from Permit Form VS 16-6)

- This permit DOES NOT authorize direct or indirect exposure of or inoculation into domestic or laboratory livestock (including but not limited to: birds/poultry/eggs, cattle, sheep, goats, swine, and/or horses). Work with materials and/or their derivatives shall be limited to *in vitro* uses only.
- Pens, cages, bedding, waste, and dead animals in contact with these materials shall be sterilized or considered a biohazard and be disposed of accordingly.
- THIS PERMIT IS VALID ONLY FOR WORK CONDUCTED OR DIRECTED BY YOU OR YOUR DESIGNEE IN YOUR PRESENT U.S. FACILITY OR APPROPRIATELY INSPECTED LABORATORY. THE AUTHORIZED IMPORTED MATERIAL(S) MUST BE SHIPPED/CONSIGNEE DIRECTLY TO THE ADDRESS OF THE PERMITTEE OR TO THE ADDRESS OF THE ADDITIONAL PERMITTEE(S) AS IDENTIFIED ON THIS PERMIT. (MATERIALS SHALL NOT BE MOVED TO ANOTHER U.S. LOCATION, OR DISTRIBUTED WITHIN THE U.S., WITHOUT USDA, APHIS, VS, NIES AUTHORIZATION.)
- On completion of your work, all permitted materials and all derivatives therefrom shall be destroyed.
- The restrictions on this permit remain in force as long as the material is in the United States.
- This permit does not exempt the permittee from responsibility for compliance with any other applicable federal, state, or local laws and regulations.
- Any person who VIOLATES the terms and conditions of permits, and/or who forge, counterfeit, or deface permits may be subject to criminal and civil penalties in accordance with applicable law. In addition, all current permits may be cancelled and future permit applications denied.
- A copy of this permit must be included with the shipping documents. For imported materials, these documents must be presented to CBP Agricultural Specialists upon arrival at the U.S. port of arrival.

SIGNATURE Michael Smith



TITLE  
Veterinary Medical Officer  
National Import Export Services



VETERINARY GENETICS LABORATORY  
SCHOOL OF VETERINARY MEDICINE  
TELEPHONE: (530) 752-2211  
FAX: (530) 752-3556

ONE SHIELDS AVENUE  
DAVIS, CALIFORNIA 95616-8744

## Instructions for Use of Import Permits

1. Print Import Permit and Statement of Health letter.
2. Read each of the 3 statements on the "Statement of Health" letter.
3. If all 3 statements are true, please print your name, telephone number, sign and date the letter. If any one of these statements are not true the Veterinary Genetics Laboratory cannot accept the samples.
4. Fold the Import Permit and Statement of Health letter and place them in an envelope.
5. Write the words "IMPORT PERMIT" on the outside of the envelope.
6. Tape the envelope to the outside of your package with the words "IMPORT PERMIT" facing out.

## STATEMENT OF HEALTH

I HEREBY DECLARE THAT:

1. The enclosed samples were derived from equine born in country listed below.
2. The enclosed samples were derived from equine that have not been quarantined as a result of the presence of any infectious or contagious diseases.
3. The enclosed samples were derived from equine considered to be free of evidence of disease.

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

Print: \_\_\_\_\_

Telephone #: \_\_\_\_\_

Country of Origin: \_\_\_\_\_

Tracking #/Invoice #: \_\_\_\_\_